

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Monash University

Application No./Patent No.: 10/526,829 Filed/Issue Date: September 4, 2003

Entitled: A Method of Modulating Cellular Activity and Molecules for Use Therein

Monash University, a university
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is 50 %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: All inventors To: Monash University and the University of Melbourne
The document was recorded in the United States Patent and Trademark Office at Reel 016841, Frame 0360, or for which a copy thereof is attached.
2. From: The University of Melbourne To: Monash University
The document was recorded in the United States Patent and Trademark Office at Reel 018793, Frame 0323, or for which a copy thereof is attached.
3. From: Monash University To: Monash University and Cancer Therapeutics Limited
The document was recorded in the United States Patent and Trademark Office at Reel 018793, Frame 0318, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Edwina Cornish
Signature

Edwina Cornish
Printed or Typed Name

Deputy Vice-Chancellor (Research)
Title

3.11.08
Date

(03) 99059300
Telephone Number

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: March 5, 2009

Signature: Marshall P. Byrd (Marshall P. Byrd)